

RECRUITING FULL TIME COMPLIANCE ANALYSTS FOR 2018

CompliancePlus Consulting Limited is one of market leaders in Hong Kong in financial compliance field specializing in hedge fund, fund management companies and licensed firms' compliance and governance matters. We have been providing award-winning financial compliance services to asset management industry in Hong Kong and Asia since 2009.

We are now hiring FULL TIME Compliance Analysts for 2018 for a 2 years training contract with role and responsibilities as below:

Requirements:

- **For Compliance Analysts**, fresh graduates or graduates with 1-3 years of working experience with previous related summer or interns experience on banking, financial firms, compliance and/or asset management and hedge funds related areas preferred
- Strong capability and ability of learning and understanding hedge fund regulatory requirements or strong interests in financial rules and legal and regulatory requirements on financial firms
- Excellent written, spoken and research English skills
- Strong language skills i.e. strong Chinese written and mandarin spoken skills preferred but not a prerequisite that we are open to recruit students from Hong Kong and overseas that possess different language capabilities for our international clients
- Details oriented, self-motivated and a strong team player

Duties and Responsibilities:

- Conducting research on finance related rules and regulations
- Conducting onsite compliance checking for clients to ensure their ongoing compliance of their operation and portfolio management functions
- Attending clients' meetings or compliance conference or meetings with clients
- Writing research paper on finance rules and policies
- Handling day to day financial rules compliance related matters
- Handling SFC license application and related documents and filings

Benefits:

- **Compliance Analysts** will be offered a 6-10 month new hired and on job trainings on financial rules and compliance related matters
- Promotional prospect to be offered upon completion of 2 years' training to the role of Associate Compliance Consultant
- 5 days' work in Central, Hong Kong for Compliance Analysts
- MPF and medical insurance provided to Compliance Analysts
- Possible future placement opportunities to work with asset management firms and hedge fund managers

Candidates should browse www.complianceplus.hk and www.sfc.hk for information about CompliancePlus and HK finance rules and regulations for their own reference. Please send hr@complianceplus.hk of your cv and cover letter as the application for this position.

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