

# CompliancePlus Consulting

Compliance Consulting • Funds Consulting  
Regulatory Consulting • Compliance Training

**(March 2018) REVISED**

## **RECRUITING FULL TIME COMPLIANCE ANALYSTS AND SUMMER INTERNS FOR 2018**

**CompliancePlus Consulting Limited** is one of market leaders in Hong Kong in financial compliance field specializing in hedge fund, fund management companies and licensed firms compliance and governance matters. We have been providing award-winning financial compliance services to asset management industry in Hong Kong and Asia since 2009.

We are now looking for 3-5 FULL TIME Compliance Analysts and 10-15 SUMMER INTERNS for Summer 2018 with role and responsibilities as below:

### **Requirements:**

- **For Compliance Analysts**, fresh graduates or graduates with 1-3 years of working experience with previous related summer or interns experience on banking, financial firms, compliance and/or asset management and hedge funds related areas preferred
- For Summer **Interns**, Year 1 student will also be considered with no preference of any disciplines if the students can show strong interests in financial and regulatory areas
- Strong capability and ability of learning and understanding hedge fund regulatory requirements or strong interests in financial rules and legal and regulatory requirements on financial firms
- Excellent written, spoken and research English skills
- Strong language skills i.e. strong Chinese written and mandarin spoken skills preferred but not a prerequisite that we are open to recruit students from Hong Kong and overseas that possess different language capabilities for our international clients
- Details oriented, self-motivated and a strong team player

### **Duties and Responsibilities:**

- Conducting research on finance related rules and regulations
- Conducting onsite compliance checking for clients to ensure their ongoing compliance of their operation and portfolio management functions
- Attending clients meetings or compliance conference or meetings with clients
- Writing research paper on finance rules and policies
- Handling day to day financial rules compliance related matters
- Handling SFC license application and related documents and filings

### **Benefits:**

- **Compliance Analysts** will be offered a 6-10 months new hired and on job trainings on financial rules and compliance related matters
- Career and industry briefings will be provided to interns with arrangement of visiting client office (subject to arrangement)
- Promotional prospect to be offered in 2 years' time to Compliance Analysts
- **For Summer Interns**, discretionary bonus will be offered to performing interns with written letter of recommendations though not guaranteed
- 5 days work in Central, Hong Kong for Compliance Analysts
- MPF and medical insurance provided to Compliance Analysts
- Possible future placement opportunities to work with asset management firms and hedge fund managers

Candidates should browse [www.complianceplus.hk](http://www.complianceplus.hk) and [www.sfc.hk](http://www.sfc.hk) for information about CompliancePlus and HK finance rules and regulations for their own reference. For all applications, please email your cv to [hr@complianceplus.hk](mailto:hr@complianceplus.hk) on or before **31 March 2018**. Interview will be conducted in **early or mid-April 2018 around Easter** in persons or over phone and results will be confirmed **in early May 2018**.

**Please follow and like us our Facebook and LinkedIn at CompliancePlus Consulting Limited for our latest update on our RECRUITMENT PROGRESS!!!!**