# SMBC Nikko Securities (Hong Kong) Limited

SMBC Nikko Securities (Hong Kong) Limited, is the wholly owned subsidiary of SMBC Nikko Securities Inc., one of the three major investment banks in Japan, and a member of the Sumitomo Mitsui Financial Group.

Over 90 years from the foundation of "Nikko" brand and becoming a member of the Sumitomo Mitsui Financial Group since October 2009, we bring our clients with deep knowledge of industries and solid client relationships based in Japan.

Combined with our in depth reach in Japan, we aim to bring cross border access to clients in Asia.

- Sales & Trading
  JP Equity brokerage services to Asian institutional investors
- Equity Research
  - China/Asian market research
- M&A Advisory
  - Cross-border and regional M&A advisory
- Corporate Business Development
  - Corporate financing
  - Support Asian business developments and investor relations

## Position 1: Analyst / Associate (Debt Capital Markets)

### Responsibilities

- Supports and learns from the DCM team, including syndicate functions
- Crucial in preparing presentation and proposal materials, including translation from English to Chinese (when necessary)
- Perform financial spreading, basic credit analysis and financial projection using macro, market data and cost analyses
- Know Your Customer (KYC) related support, such as information gathering, analysis, getting KYC approvals, translation (Chinese to English primarily) if necessary, etc.
- For candidates with more experience, you will support deal execution process, including due diligence, liaison with clients and other external parties and other logistics / administrative matters as relevant

## Requirements

- At least 3 years of work experience in the finance industry
- Sound numeric and analytical mindset and is still eager to learn
- Strong and effective MS PowerPoint, Word and Excel skills

• Fluent written and spoken Mandarin and English

#### **Plus Factors**

- Basic knowledge of derivatives (interest rate and cross currency swaps and others) would be a plus
- Experience in corporate finance deal execution would be a plus
- Previous exposure to KYC matters

#### Position 2: Analyst / Associate (Fixed Income, Korean speaking)

#### Responsibility

- Learn from the renowned Fixed Income Sales and Traders
- Assist the team on general and more sophisticated functions based on your capability
- A chance for you to be known by the senior management through your contributions to the periodic reporting

#### Requirements

- Good written and spoken English and Korean
- Passed Paper 1 exam
- Experience in Fixed Income area is desirable but not a must

#### **Application Method and Deadline**

- Email to yuen.chan@smbcnikko-hk.com
- Deadline: 26 May 2017